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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jong-Ok KIM

Serial No.: 09/975,397

Examiner: Unknown

Filing Date: October 10, 2001

Group Art Unit: Unknown

For: STABPROOF AND BULLETPROOF PANEL

Docket No.: 17066/148/101

TRANSMITTAL SHEET

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

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ORIGINALLY FILED

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence and the documents described herein are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 14<sup>th</sup> day of February, 2002.

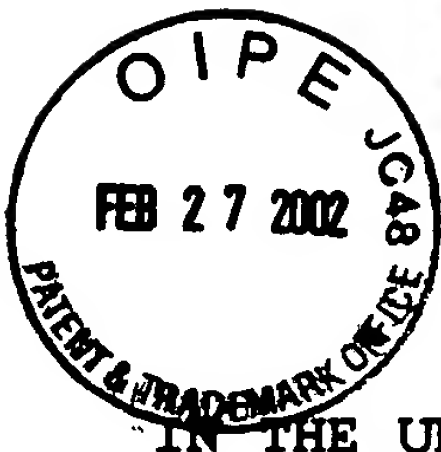
By Carolyn I. Erickson

We are transmitting herewith the attached:

- ☒ Checks in the amounts of \$55.00 and \$65.00 are enclosed.
- ☒ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established.
- ☒ Other: Petition for Extension of Time; Notice to File Corrected Application Papers (Part 2); Communication; Replacement Specification; Five (5) Sheets of Substitute Drawings.
- ☒ Please charge any deficiencies or credit any over payment in the enclosed fees to Deposit Account 14-0620.

By: Lawrence M. Nawrocki  
Lawrence M. Nawrocki  
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#4

P A T E N T

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COMMUNICATION

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

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United States Postal Service on the date shown below with sufficient  
postage as first class mail in an envelope addressed to the Assistant  
Commissioner for Patents, Washington, D.C. 20231 on this 14 day of  
February 2002

By:

Carolyn I. Erickson

Sir:

Applicant filed the application covered by the caption indicated above on October 10, 2001. The examiner took the position that Page 3 of the specification was illegible, and it was required that Applicant submit a substitute specification with new drawings together with a statement that the replacement page(s) contain no new matter. The United States Patent and Trademark Office issued a NOTICE TO FILE CORRECTED APPLICATION PAPERS - FILING DATE GRANTED document. That paper documented the fact that the filing date was granted for the application. Further, however, it documented that "Papers must be legibly written either by a

typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electric reproduction by use of digital imaging and optical character recognition. Pages 3 are not in compliance with 37 CFR § 1.52(a). *The drawings are unacceptable because: Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.*" The paper also required that a surcharge in the amount of \$130, for a large entity, or \$65, for a small entity, be submitted.

The period for response was set to expire two months from the date of that paper. The unextended deadline for responding was, therefore, January 14, 2002. However, enclosed with this COMMUNICATION please find a PETITION FOR EXTENSION OF TIME and our check in the amount of \$55.00.

Please find enclosed the "RESPONSE" copy of the NOTICE TO FILE CORRECTED APPLICATION PAPERS - FILING DATE GRANTED document, a substitute specification; substitute drawings; a Petition for Extension of Time; and our checks in the amount of \$65.00 (for the surcharge) and \$55.00 (one-month extension of time).

The undersigned attorney hereby avers that no new matter is contained in the enclosed replacement pages and substitute drawings. All statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the above application.

In view of the action taken herein, Applicant would submit that the requirements imposed by the NOTICE TO FILE CORRECTED APPLICATION PAPERS - FILING DATE GRANTED paper have been complied with. It is, therefore, requested that the application be passed for examination.

Respectfully submitted,

Jong-Ok KIM

By his attorney,

Dated: February 14, 2002

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/975,397         | 10/10/2001          | Jong-Ok Kim           | 17066/148/101          |

CONFIRMATION NO. 1238

## FORMALITIES LETTER



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Lawrence M. Nawrocki  
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Date Mailed: 11/14/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
    - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 3 are not in compliance with 37 CFR 1.52(a). *The drawings filed are unacceptable because:  
Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.*

*A copy of this notice **MUST** be returned with the reply.*

03/05/2002 SMINASS1 00000081 09975397

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE